## Exhibit A

CI	181	CON	/IFR	CL	MIA

Claim Number	
Date Received	

Please see Attachment A, Item B

### BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

#### **DECEMBER 11, 2008**

(Please	print	or	type)
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Name of Customer: Gary Bizzell IRA Account, FISERV, Inc., Co	<u>is</u> coarai
Mailing Address: 4929 SW 32 Terrace	
City: Fort Lauderdale State: Florida Zip: 33312	
Account No.: Please see Attachment A, Item A	
Taxpayer I.D. Number (Social Security No.):	

BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY NOTE: THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

Claim for money balances as of December 11, 2008: 1. Please see \$Attachment A, Item B The Broker owes me a Credit (Cr.) Balance of a. I owe the Broker a Debit (Dr.) Balance of \$ None b. If you wish to repay the Debit Balance, C. please insert the amount you wish to repay and attach a check payable to "Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securities LLC." If you wish to make a payment, it must be enclosed \$<u>N/A</u>

with this claim form.

If balance is zero, insert "None."

d.

2.	Claim	for	securities	as	of	December	11,	2008
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### PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		<u>Y</u>	<u>ES</u>	NO
a.	The Broker owes me securities		<u> </u>	
b.	I owe the Broker securities		·····	<u> </u>
c.	If yes to either, please list below:			
P	lease see Attachment A, Item C		Number o Face Amou	f Shares or nt of Bonds
Date of Transaction (trade date)	; Name of Security	-35	The Broker Owes Me (Long)	I Owe the Broker (Short)

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

2

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		<u> </u>
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see Item D	Attachment A,
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.	- A	<u> </u>
	Please list the full name and address of anyone a preparation of this claim form: Bird, Loechl, 1150 Monarch Plaza, 3414 Peachtree R	<u> Brittain &amp; </u>	<u>McCants, L</u> LC

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date <u>2/25/09</u>	Signature Day Buy
Date	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

## TO CUSTOMER CLAIM FORM OF GARY BIZZELL

WITH RESPECT TO IRA ACCOUNT, FISERV, INC., CUSTODIAN FBO GARY BIZZELL

INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF INVESTMENT CONTRIBUTIONS INVESTED WITH BERNARD L. MADOFF INVESTMENT SECURITIES: \$18,676,31

AS REPORTED BY SPJ INVESTMENTS, LTD.,
TOTAL REALIZED/UNREALIZED VALUE
OF SUCH INVESTMENTS AS OF
DECEMBER 31, 2008:
\$24,225.36

TOTAL NET LOSS BEING CLAIMED: \$24,225.36

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

## Exhibit B

<b>CUSTOMER CLAIN</b>	CI	IS	TC	١V	ER	C	LAIN	Λ
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Claim Number	
Date Received	

### BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

#### **DECEMBER 11, 2008**

(Please print or type)

Name of Customer: Patrick	and Patricia Cooney	
Mailing Address: 108 River		
City: Roswell	State: Georgia	Zip: <u>30075</u>
Account No.: Please see	Attachment A, Item A	
Taxpayer I.D. Number (Social S	Security No.):	6

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of **December 11, 2008**:

	•		Prea	se see				
э.	The Broker owes me a Credit (Cr.) Ba	alance of	\$Atta	chment A,	_ Item	В		
b.	I owe the Broker a Debit (Dr.) Balance	e of	\$ <u>None</u>	<u> </u>	<del></del>			
c.	If you wish to repay the Debit Balance	€,						
	please insert the amount you wish to	repay and						
	attach a check payable to "Irving H. Picard, Esq.,							
	Trustee for Bernard L. Madoff Investment Securities LLC."							
	If you wish to make a payment, it mu	st be enc	losed					
	with this claim form.		\$ <u>N/A</u>		<del></del>			
d.	If balance is zero, insert "None."	Please	see <u>Atta</u>	chment A,	Item	В		

2. Claim for securities as of December 11, 2008:

### PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES	<u>NO</u>
a.	The Broker owes me securities	<u> </u>	
b.	I owe the Broker securities	Anny appropriate to the control of t	X
C.	If yes to either, please list below:		
P.	lease see Attachment A, Item C		r of Shares or ount of Bonds
Date of Transaction (trade date)	Name of Security	The Broke Owes Me (Long)	the Broker
			***************************************

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

2

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	NO
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		<u> </u>
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	***************************************	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A,
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone preparation of this claim form: Bird, Loechl,	assisting you in t Brittain & M	he IcCants, LLC

1150 Monarch Plaza, 3414 Peachtree Rd NE, Atlanta, GA 30326

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 2 25/09

Signature

Date <u>2/25/2009</u>

Signature\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

# ATTACHMENT A TO CUSTOMER CLAIM FORM OF PATRICK AND PATRICIA COONEY

INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF
INVESTMENT CONTRIBUTIONS INVESTED WITH
BERNARD L. MADOFF INVESTMENT SECURITIES:
\$86,000.00

AS REPORTED BY GUARDIAN ANGEL TRUST, LLC, TOTAL REALIZED/UNREALIZED VALUE OF SUCH INVESTMENTS AS OF DECEMBER 31, 2008: \$112,298.99

> TOTAL NET LOSS BEING CLAIMED: \$112,298.99

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

## Exhibit C

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Claim Number	
Date Received	

#### BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

#### **DECEMBER 11, 2008**

(Please	print	or	type	)
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Name of Customer: Sharon Falls	
Mailing Address: 4929 SW 32nd Terrace	
City: Fort Lauderdale State: Florida	_ Zip: <u>33312</u>
Account No.: Please see Attachment A, Item A	
Taxpayer I.D. Number (Social Security No.): 2673	

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1.	Clai	m for money balances as of <b>December 11, 2008</b> :						
		·		Please see				
	a.	The Broker owes me a Credit (Cr.) I	3alance of	\$Attachment A, :	Item 1	В		
	b.	I owe the Broker a Debit (Dr.) Balan	ce of	\$ <u>None</u>				
	c.	If you wish to repay the Debit Balan	ce,					
		please insert the amount you wish to repay and						
		attach a check payable to "Irving H. Picard, Esq.,						
		Trustee for Bernard L. Madoff Investment Securities LLC."						
		If you wish to make a payment, it m	ust be encl	osed				
		with this claim form.		\$ <u>N/A</u>				
	d.	If balance is zero, insert "None."	Please	see <u>Attachment A,</u> I	item E	3		

Claim for securities as of December 11, 2008:

## PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

<u></u>
ares or Bonds
Owe e Broker (Short)
***************************************
(

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

2

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		<u>X</u>
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone a preparation of this claim form: Bird, Loechl, 1150 Monarch Plaza, 3414 Peachtree R	<u> Brittain &amp; 1</u>	<u>McCants, L</u> LC

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 3/35/09	Signature Maryline
Date	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

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## ATTACHMENT A TO CUSTOMER CLAIM FORM OF SHARON FALLS

INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF INVESTMENT CONTRIBUTIONS INVESTED WITH BERNARD L. MADOFF INVESTMENT SECURITIES: \$32,000.00

AS REPORTED BY GUARDIAN ANGEL TRUST, LLC, TOTAL REALIZED/UNREALIZED VALUE OF SUCH INVESTMENTS AS OF DECEMBER 31, 2008: \$43,835.03

TOTAL NET LOSS BEING CLAIMED: \$43,835.03

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

## Exhibit D

CI	IS	TC	M	FR	CI	_AIM

Claim Number	
Date Received	

Please see Attachment A, Item B

### BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

#### **DECEMBER 11, 2008**

(Please print or type)

Name of Customer: Sharon Falls IRA/SEP Account, FISERV, Inc. Custodian Mailing Address: 4929 SW 32nd Terrace

City: Fort Lauderdale State: Florida Zip: 33312

Account No.: Please see Attachment A, Item A

Taxpayer I.D. Number (Social Security No.): 2673

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1.	Clai	m for money balances as of <b>December 11, 2008</b> :	Please see	
	a.	The Broker owes me a Credit (Cr.) Balance of	\$Attachment A, Item	₽
	b.	I owe the Broker a Debit (Dr.) Balance of	\$ <u>None</u>	
	c.	If you wish to repay the Debit Balance,		
		please insert the amount you wish to repay and		
		attach a check payable to "Irving H. Picard, Esq.,		
		Trustee for Bernard L. Madoff Investment Securitie	es LLC."	
		If you wish to make a payment, it must be enclos	ed	
		with this claim form.	\$ <u>N/A</u>	

If balance is zero, insert "None."

d.

#### 2. Claim for securities as of **December 11, 2008**:

## PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

			YES	NO
	a.	The Broker owes me securities	<u> </u>	
	b.	I owe the Broker securities		X
	c.	If yes to either, please list below:		
	Pl	ease see Attachment A, Item C		of Shares or unt of Bonds
Date of Transaction (trade date)		Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
			Wassenstate State	- Michigan - Company
			Search Control of the	
<u></u>	Worker		market and a second sec	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	- Andrews - Andrews	X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		<u> </u>
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	*	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		<u> </u>
	Please list the full name and address of anyone a preparation of this claim form: Bird, Loechl,	assisting you in Brittain &	the <u>McCants, L</u> LC

1150 Monarch Plaza, 3414 Peachtree Rd NE, Atlanta, GA 30326

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date <u> </u>	Signature Signature
Date	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

# ATTACHMENT A TO CUSTOMER CLAIM FORM OF SHARON FALLS

WITH RESPECT TO IRA/SEP ACCOUNT, FISERV, INC., CUSTODIAN FBO SHARON FALLS

INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF INVESTMENT CONTRIBUTIONS INVESTED WITH BERNARD L. MADOFF INVESTMENT SECURITIES: \$23,549.00

AS REPORTED BY SPJ INVESTMENTS, LTD.,
TOTAL REALIZED/UNREALIZED VALUE
OF SUCH INVESTMENTS AS OF
DECEMBER 31, 2008:
\$31,476.56

TOTAL NET LOSS BEING CLAIMED: \$31,476.56

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

## Exhibit E

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Claim Number	
Date Received	

### BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

#### **DECEMBER 11, 2008**

(Please print or type)

Name of Customer: Stepelton Advisors, Inc.	
Mailing Address: 5110 N. Federal Highway, Suite 100	_
C( /	
City. For c nauder dare	
Account No.: Please see Attachment A, Item A	
Taxpayer I.D. Number (Social Security No.): 2103	

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1.	Clai	im for money balances as of <b>Decembe</b>	er 11, 2008:	Please see	
	a.	The Broker owes me a Credit (Cr.)	Balance of	\$Attachment A, It	em B
	b.	I owe the Broker a Debit (Dr.) Balan	ce of	\$ <u>None</u>	
	C.	If you wish to repay the Debit Baland	ce,		
		please insert the amount you wish to	repay and		
		attach a check payable to "Irving H.	Picard, Esq	••	
		Trustee for Bernard L. Madoff Inves	tment Secur	ities LLC."	
		If you wish to make a payment, it m	ust be encl	osed	
		with this claim form.		\$ <u>N/A</u>	
	d.	If balance is zero, insert "None."	Please	see Attachment A, It	em B

### 2. Claim for securities as of December 11, 2008:

## PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		,	YES .	NO .
	a.	The Broker owes me securities	<u>X</u>	
	b.	I owe the Broker securities	the state of the s	<u>X</u>
	C.	If yes to either, please list below:		
	P]	lease see Attachment A, Item C		of Shares or ount of Bonds
Date of Transaction (trade date)		Name of Security	The Broke Owes Me (Long)	
			Samuel Sa	<b></b>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

# NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		<u> </u>
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	*	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	**************************************	X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone a preparation of this claim form: Bird, Loechl, 1150 Monarch Plaza, 3414 Peachtree R	Brittain &	McCants, LLC

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TH	RUE AND ACCURATE TO THE BEST OF MY
INFORMATION AND BELIEF.	A A
Date 2-25-09	Signature President
Date	Signature
the manufacture of the account to the	red all must sign above. Give each owner's name,

(If ownership of the account is shared, all must sign above. Give each owners name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and malled promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

# TO CUSTOMER CLAIM FORM OF STEPELTON ADVISORS, INC.

INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF
INVESTMENT CONTRIBUTIONS INVESTED WITH
BERNARD L. MADOFF INVESTMENT SECURITIES:
\$50,000.00

AS REPORTED BY GUARDIAN ANGEL TRUST, LLC, TOTAL REALIZED/UNREALIZED VALUE OF SUCH INVESTMENTS AS OF DECEMBER 31, 2008: \$53,045.35

TOTAL NET LOSS BEING CLAIMED: \$53,045.35

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

## Exhibit F

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1.1	1.7	C JI	V# E	$\mathbf{r}$			.11	,

Claim Number	
Date Received	

### BERNARD L. MADOFF INVESTMENT SECURITIES LLC

(Please	print	$\mathbf{or}$	type	)
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		In Liquidation	
		<b>DECEMBER 11, 2008</b>	
(Please pr	int or	type)	
Mailing A City: Fo Account N	ddres rt L No.:	ner: Brett Stepelton IRA Account, FISERV s: 3031 NE 44th St. auderdale State: Florida Zip Please see Attachment A, Item A Number (Social Security No.):	: 33308
NOTE:	THE SHO PRO REC REC SUB LESS	ORE COMPLETING THIS CLAIM FORM, BE SURE TO ACCOMPANYING INSTRUCTION SHEET. A SEPAULD BE FILED FOR EACH ACCOUNT AND, TO FECTION AFFORDED UNDER SIPA, ALL CUSTOMESIVED BY THE TRUSTEE ON OR BEFORE Marcheived AFTER THAT DATE, BUT ON OR BEFORE JUSCIT TO DELAYED PROCESSING AND TO BEING SAS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOU TIFIED MAIL - RETURN RECEIPT REQUESTED.	RATE CLAIM FORM RECEIVE THE FULL R CLAIMS MUST BE 1 4, 2009. CLAIMS 11y 2, 2009, WILL BE ATISFIED ON TERMS
*****	******	**************************************	**
1.	Clain	for money balances as of <b>December 11, 2008</b> :	Please see
	a.	The Broker owes me a Credit (Cr.) Balance of	\$Attachment A, Item B
	b.	I owe the Broker a Debit (Dr.) Balance of	\$ None
	C.	If you wish to repay the Debit Balance,	
		please insert the amount you wish to repay and	
		attach a check payable to "Irving H. Picard, Esq.,	
		Trustee for Bernard L. Madoff Investment Securities	
		If you wish to make a payment, it must be enclose	
		with this claim form.	\$ <u>N/A</u>
	d.	If balance is zero, insert "None." Please see	Attachment A, Item B

2. Claim for securities as of December 11, 2008:

## PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

			YES	<u>NO</u>
8	э.	The Broker owes me securities	<u> </u>	
ł	э.	I owe the Broker securities		X
(	Э.	If yes to either, please list below:		
P		ease see Attachment A, Item C	Number of Shares Face Amount of Bon	
Date of Transactio (trade dat		Name of Security	The Broke Owes Me (Long)	
				Market Company
			Auto-	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	Vary district the same of the	<u> </u>
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		<u> </u>
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A,
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone preparation of this claim form: Bird, Loechl, 1150 Monarch Plaza, 3414 Peachtree F	Brittain &	McCants, LLC

08-01789-cgm Doc 1204-1 Filed 01/05/10 Entered 01/05/10 17:03:44 Exhibit Pg 35 of 99

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06/16/2007 01:42 9547766469

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 2-25-09	Signature Brest Stepethon
Deta	Skynature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

trying H. Picard, Esq., Trustee for Bemard L. Madoff Investment Securities LLC Claims Processing Center 2100 McKinney Ave., Suite 800 Dallas, TX 75201

502410406

ATTACHMENT A
TO CUSTOMER CLAIM FORM OF
BRETT STEPELTON
WITH RESPECT TO IRA/SEP ACCOUNT,
FISERV, INC., CUSTODIAN FBO BRETT STEPELTON
INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"),
ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF
INVESTMENT CONTRIBUTIONS INVESTED WITH
BERNARD L. MADOFF INVESTMENT SECURITIES:
\$42,044.00

AS REPORTED BY SPJ INVESTMENTS, LTD.,
TOTAL REALIZED/UNREALIZED VALUE
OF SUCH INVESTMENTS AS OF
DECEMBER 31, 2008:
\$57,141.97

TOTAL NET LOSS BEING CLAIMED: \$57,141.97

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

# Exhibit G

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Claim Number	
Date Received	

# BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

## **DECEMBER 11, 2008**

(Please	print	or	type)	)
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Name of Customer: Douglas A & Virlee Stepel	ton
Mailing Address: 2395 SE 8th St.	
City: Pompano Beach State: Florid	laZip: _33060
Account No.: Please see Attachment A, Item	1 A
Taxpayer I.D. Number (Social Security No.):	-3317

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1.	Clair	m for money balances as of <b>December 11, 2008</b> :	Please see
	a.	The Broker owes me a Credit (Cr.) Balance of	\$Attachment A, Item B
	b.	I owe the Broker a Debit (Dr.) Balance of	\$ None
	c.	If you wish to repay the Debit Balance,	
		please insert the amount you wish to repay and	
		attach a check payable to "Irving H. Picard, Esq.,	
		Trustee for Bernard L. Madoff Investment Securities	es LLC."
		If you wish to make a payment, it must be enclos	ed
		with this claim form.	\$ <u>N/A</u>

d. If balance is zero, insert "None." Please see Attachment A, Item B

# 2. Claim for securities as of December 11, 2008:

# PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES	NO
a.	The Broker owes me securities	<u> </u>	
b.	I owe the Broker securities		<u> </u>
c.	If yes to either, please list below	r:	
P	lease see Attachment A, I	C	er of Shares or nount of Bonds
Date of Transaction (trade date)	Name of Security	The Brok Owes Me (Long)	
			<u> </u>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

2

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NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		YES	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	- Alberta - Albe	X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	Name of the Control o	X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	· •	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	Market and the second s	x
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A,
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone a preparation of this claim form: Bird, Loechl, 1150 Monarch Plaza, 3414 Peachtree F	<u>Brittain &amp; l</u>	McCants, LLC

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 2-25-09

Signature

Date 2 - 25 - 09

Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

# TO CUSTOMER CLAIM FORM OF DOUGLAS A. AND VIRLEE STEPELTON

INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF
INVESTMENT CONTRIBUTIONS INVESTED WITH
BERNARD L. MADOFF INVESTMENT SECURITIES:
\$30,000.00

AS REPORTED BY GUARDIAN ANGEL TRUST, LLC, TOTAL REALIZED/UNREALIZED VALUE OF SUCH INVESTMENTS AS OF DECEMBER 31, 2008: \$50,636.11

TOTAL NET LOSS BEING CLAIMED: \$50,636.11

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

# Exhibit H

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Claim Number
Date Received

## BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

## **DECEMBER 11, 2008**

(Please print or type)
Douglas Stepelton Trust,
Name of Customer: Frank Walker & Steven Jacob, Trustees
Mailing Address: 2395 SE 8th St.
City: Pompano Beach State: Florida Zip: 33062
Account No.: Please see Attachment A, Item A
Taxpayer I.D. Number (Social Security No.): 2172

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

Claim for money balances as of December 11, 2008: 1. Please see The Broker owes me a Credit (Cr.) Balance of \$Attachment A, Item B a. I owe the Broker a Debit (Dr.) Balance of \$ None b. If you wish to repay the Debit Balance, C. please insert the amount you wish to repay and attach a check payable to "Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securities LLC." If you wish to make a payment, it must be enclosed with this claim form. \$ N/A If balance is zero, insert "None." Please see Attachment A, Item B d.

<ol> <li>Claim for securities as of December 11, 20</li> </ol>	2.	Claim for	securities	as of	December	11,	2008
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# PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

				<del>,</del>	YES		NO
a.	The Brok	er owes me secu	rities	-	Х	···············	
b.	I owe the	Broker securities	3			and the second	<u> </u>
C.	If yes to	either, please list	below:				
P.	lease see	e Attachment	A, Iten	n C			Shares or of Bonds
Date of Transaction (trade date)	,	Name of Se	ecurity	esse	Owe	Broker es Me ong)	I Owe the Broker (Short)
	<del></del>		-		**************************************		Name to the same t
	<del></del>						Land Company of the C
	***************************************				Name of Street, and Address of the Street, and A		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

2

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	•	X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		x
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	x
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone a preparation of this claim form: Bird, Loechl, 1150 Monarch Plaza, 3414 Peachtree Records	<u>Brittain &amp; M</u>	cCants, LLC

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 2-35-09 Signature Se Co-truster

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

# ATTACHMENT A TO CUSTOMER CLAIM FORM OF DOUGLAS STEPELTON TRUST, FRANK WALKER AND STEVEN JACOB, TRUSTEES INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF INVESTMENT CONTRIBUTIONS INVESTED WITH BERNARD L. MADOFF INVESTMENT SECURITIES: \$125,000.00

AS REPORTED BY S&P,
TOTAL REALIZED/UNREALIZED VALUE
OF SUCH INVESTMENTS AS OF
DECEMBER 31, 2008:
\$146,752.69

TOTAL NET LOSS BEING CLAIMED: \$146,752.69

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

# Exhibit I

<b>CUSTOMER CLAIM</b>	CI	IST	ГΟ	M	ER	CL	.AIN	I
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Claim Number	
Date Received	

	E	BERNARD L. MADOFF INVESTMENT SECURITIES	SLLC						
:	In Liquidation								
		<b>DECEMBER 11, 2008</b>							
Mailing A	Custo:	Jennifer Stepelton (f/k/a Jennifer mer: IRA Account, FISERV, Inc., Custodia ss: 3031 NE 44th St.  Jauderdale State: Florida Zip Please see Attachment A, Item A  Number (Social Security No.):	o: <u>33308</u>						
NOTE:	NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.								
*****	*****	<	**						
1.	Clai	m for money balances as of December 11, 2008:	Please see						
	a.	The Broker owes me a Credit (Cr.) Balance of	\$Attachment A, Item B						
	b.	I owe the Broker a Debit (Dr.) Balance of	\$ <u>None</u>						
	C.	If you wish to repay the Debit Balance,							
		please insert the amount you wish to repay and							
		attach a check payable to "Irving H. Picard, Esq.,							
		Trustee for Bernard L. Madoff Investment Securitie							
•		If you wish to make a payment, it must be enclos	ed						
		with this claim form.	\$ <u>N/A</u>						

d. If balance is zero, insert "None." Please see Attachment A, Item B

2.	Claim for	securities	as of	December	11,	2008:
----	-----------	------------	-------	----------	-----	-------

# PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES	<u>NO</u>
a.	The Broker owes me securities	X	
b.	I owe the Broker securities	- Decrease - Control of the Control	X
c.	If yes to either, please list below:		
P	lease see Attachment A, Item C		r of Shares or ount of Bonds
Date of Transaction (trade date)	Name of Security	The Broke Owes Me (Long)	
			AMOUNTAIN AND AND AND AND AND AND AND AND AND AN

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	<u>X</u>
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.	•	<u>X</u>
	Please list the full name and address of anyone a preparation of this claim form: Bird, Loechl, 1150 Monarch Plaza, 3414 Peachtree R	<u> Brittain &amp;  </u>	<u>McCants, L</u> LC

08-01789-cgm Doc 1204-1 Filed 01/05/10 Entered 01/05/10 17:03:44 Exhibi

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05/16/2007 01:42 9547766469

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Inving H. Picard, Esq., Trustee for Bemard L. Madolf investment Securities LLC Claims Processing Center 2100 McKanney Ave., Suite 800 Dallas, TX 75201

502180406

# ATTACHMENT A TO CUSTOMER CLAIM FORM OF JENNIFER STEPELTON (FORMERLY KNOWN AS JENNIFER COONEY) WITH RESPECT TO IRA/SEP ACCOUNT, FISERV, INC., CUSTODIAN FBO JENNIFER STEPELTON INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF
INVESTMENT CONTRIBUTIONS INVESTED WITH
BERNARD L. MADOFF INVESTMENT SECURTIES:
\$4,051.72

AS REPORTED BY SPJ INVESTMENTS, LTD., TOTAL REALIZED/UNREALIZED VALUE OF SUCH INVESTMENTS AS OF DECEMBER 31, 2008: \$5,967.52

TOTAL NET LOSS BEING CLAIMED: \$5,967.52

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

# Exhibit J

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$\sim$				E		-	

Claim Number	_
Date Received	_

Please see Attachment A, Item B

# BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

#### **DECEMBER 11, 2008**

(Please	print o	r type)
---------	---------	---------

Name of Customer: Sean Stepelton

Mailing Address: 5110 N. Federal Highway, Suite 100

City: Fort Lauderdale State: Florida Zip: 33308

Account No.: Please see Attachment A, Item A

Taxpayer I.D. Number (Social Security No.): 5730

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

\*

If balance is zero, insert "None."

١.	Clai	m for money balances as of <b>December 11, 2008</b> :	money balances as of <b>December 11, 2008</b> :  Please see					
	a.	The Broker owes me a Credit (Cr.) Balance of	\$Attachment A, Item B					
	b.	I owe the Broker a Debit (Dr.) Balance of	\$ None					
	c.	If you wish to repay the Debit Balance,						
		please insert the amount you wish to repay and						
		attach a check payable to "Irving H. Picard, Esq.,						
		Trustee for Bernard L. Madoff Investment Securiti	es LLC."					
		If you wish to make a payment, it must be enclos	sed					
		with this claim form.	\$ <u>N/A</u>					

d.

#### Claim for securities as of December 11, 2008: 2.

# PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES _	NO
a.	The Broker owes me securities	X	
b.	I owe the Broker securities		X
ċ.	If yes to either, please list below:		
Pl	ease see Attachment A, Item C	Number <u>Face Amo</u>	of Shares or ount of Bonds
Date of Transaction (trade date)	Name of Security	The Broke Owes Me (Long)	r I Owe the Broker (Short)
		ATT OF THE PARTY O	
			***************************************
		<del></del>	<u></u>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		<u>X</u>
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		x
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		<u>X</u>
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone preparation of this claim form: Bird, Loechl, 1150 Monarch Plaza, 3414 Peachtree I	Brittaln &	MCCanca, The

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

HE FO	DREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY
NFORN	MATION AND BELIEF.
Date	2-25-09 Signature Lea flagelles
Date	Signature
	ership of the account is shared, all must sign above. Give each owner's name

(If ownership of the account is shared, all must sign above. Give each owners harte, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

# ATTACHMENT A TO CUSTOMER CLAIM FORM OF SEAN STEPELTON

INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"), ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF INVESTMENT CONTRIBUTIONS INVESTED WITH BERNARD L. MADOFF INVESTMENT SECURITIES: \$589,189.00

AS REPORTED BY GUARDIAN ANGEL TRUST, LLC, TOTAL REALIZED/UNREALIZED VALUE OF SUCH INVESTMENTS AS OF DECEMBER 31, 2008: \$673,318.40

TOTAL NET LOSS BEING CLAIMED: \$673,318.40

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

# Exhibit K

<b>CUSTOMER CLAIM</b>
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Claim Number		
Date Received		

Please see Attachment A, Item B

# BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

## **DECEMBER 11, 2008**

(Please	print	or	type)	)
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Name of Customer: Sean D. Stepelton IRA/SEP Account, FISERV, Inc. Custodian Mailing Address: 5110 N. Federal Highway

City: Fort Lauderdale State: Florida Zip: 33308

Account No.: Please see Attachment A, Item A

Taxpayer I.D. Number (Social Security No.): 5730

NOTE:

BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

*****************	

If balance is zero, insert "None."

1.	Clai	im for money balances as of <b>December 11, 2008</b> :	Please see
	a. b.	The Broker owes me a Credit (Cr.) Balance of I owe the Broker a Debit (Dr.) Balance of	\$Attachment A, Item B \$None
	C.	If you wish to repay the Debit Balance, please insert the amount you wish to repay and	
		attach a check payable to "Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securiti	ies LLC."
		If you wish to make a payment, it must be enclosed	sed
		with this claim form.	\$ <u>N/A</u>

d.

<ol><li>Claim for securities as of December</li></ol>	r 11, 200	8:
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# PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

·		YES	<u>NO</u>
a.	The Broker owes me securities	<u>X</u>	
b.	I owe the Broker securities	-	X
C.	If yes to either, please list below:		
P	lease see Attachment A, Item C	Numbe <u>Face Am</u>	er of Shares or nount of Bonds
Date of Transaction (trade date)	Name of Security	The Brok Owes Me (Long)	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

	OOMI EELION		
		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		<u>X</u>
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	<u> </u>
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	Please see	Attachment A
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone preparation of this claim form: Bird, Loechl,	assisting you in Brittain &	the McCants, LLC

1150 Monarch Plaza, 3414 Peachtree Rd NE, Atlanta, GA 30326

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY

INFORMATION AND BELIEF.	1 AA-M
Date 4-25-09	Signature Signature
Date	Signature
(If ownership of the account is sha	red, all must sign above. Give each owner's name,

(If ownership of the account is shared, all must sign above. Give each owners name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

ATTACHMENT A
TO CUSTOMER CLAIM FORM OF
SEAN D. STEPELTON
WITH RESPECT TO IRA/SEP ACCOUNT,
FISERV, INC., CUSTODIAN FBO SEAN D. STEPELTON
INVESTED THROUGH ACCOUNTS OF S&P ASSOCIATES, G.P. ("S&P"),
ACCOUNT NOS. 1-ZA874-3-0 AND 1-ZA874-4-0

TOTAL NET AMOUNT OF
INVESTMENT CONTRIBUTIONS INVESTED WITH
BERNARD L. MADOFF INVESTMENT SECURITIES:
\$57,397.00

AS REPORTED BY SPJ INVESTMENTS, LTD.,
TOTAL REALIZED/UNREALIZED VALUE
OF SUCH INVESTMENTS AS OF
DECEMBER 31, 2008:
\$78,167.02

TOTAL NET LOSS BEING CLAIMED: \$78,167.02

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC

Redacted

# Exhibit L

## **BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

## **DECEMBER 11, 2008**<sup>1</sup>

## NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

GARY BIZZELL IRA ACCOUNT 4929 SW 32 TERRACE FT LAUDERDALE, FL 33312

Dear GARY BIZZELL IRA ACCOUNT:

## PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005102:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

**PLEASE TAKE NOTICE:** If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court **and** the Trustee within **THIRTY DAYS** after December 8, 2009, the date on which the Trustee mailed this notice.

<sup>1</sup> Section 78///(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78///(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

cc: BIRD, LOECHL, BRITTAIN & MCCANTS, LLC 1150 MONARCH PLAZA, 3414 PEACHTREE RD NE ATLANTA, GA 30326

# Exhibit M

## BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation **DECEMBER 11, 2008**<sup>1</sup>

## NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

PATRICK AND PATRICIA COONEY 108 RIVER RIDGE LANE ROSWELL, GA 30075

Dear PATRICK AND PATRICIA COONEY:

## PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005106:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

**PLEASE TAKE NOTICE:** If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you <u>MUST</u> file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court **and** the Trustee within **THIRTY DAYS** after December 8, 2009, the date on which the Trustee mailed this notice.

<sup>1</sup> Section 78///(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78///(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

CC: BIRD, LOECHL, BRITTAIN & MCCANTS, LLC 1150 MONARCH PLAZA, 3414 PEACHTREE RD NE ATLANTA, GA 30326

## Exhibit N

## BERNARD L. MADOFF INVESTMENT SECURITIES LLC In Liquidation

### **DECEMBER 11, 2008**<sup>1</sup>

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

SHARON FALLS 4929 SW 32ND TERRACE FT LAUDERDALE, FL 33312

Dear SHARON FALLS:

### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005110:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/II(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

## Exhibit O

In Liquidation

### **DECEMBER 11, 2008<sup>1</sup>**

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

SHARON FALLS IRA/SEP ACCOUNT 4929 SW 32ND TERRACE FT LAUDERDALE, FL 33312

Dear SHARON FALLS IRA/SEP ACCOUNT:

### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005104:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/II(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/II(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

# Exhibit P

# In Liquidation DECEMBER 11, 2008<sup>1</sup>

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

STEPELTON ADVISORS, INC. 5110 N FEDERAL HIGHWAY, SUITE 100 FT LAUDERDALE, FL 33308

Dear STEPELTON ADVISORS, INC.:

#### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005412:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/II(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78ee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/II(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

PLEASE TAKE FURTHER NOTICE: If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

CC: RICHARD L. BRITTAIN, BIRD, LOECHL, BRITTAIN & MCCANTS, LLC 1150 MONARCH PLAZA, 3414 PEACHTREE RD NE ATLANTA, GA 30326

# Exhibit Q

In Liquidation **DECEMBER 11, 2008**<sup>1</sup>

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

BRETT STEPELTON IRA ACCOUNT 3031 NE 44TH ST. FT LAUDERDALE, FL 33308

Dear BRETT STEPELTON IRA ACCOUNT:

### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005108:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/III(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

## Exhibit R

In Liquidation

### **DECEMBER 11, 2008<sup>1</sup>**

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

DOUGLAS A & VIRLEE STEPELTON 2395 SE 8TH STREET POMPANO BEACH, FL 33060

Dear DOUGLAS A & VIRLEE STEPELTON:

#### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005105:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78ee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/II(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

# Exhibit S

In Liquidation

### **DECEMBER 11, 2008<sup>1</sup>**

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

DOUGLAS STEPELTON TRUST FRANK WALKER & STEVEN JACOB, TSTEES 2395 SE 8TH STREET POMPANO BEACH, FL 33062

Dear DOUGLAS STEPELTON TRUST:

### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 004958:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/III(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

**Irving H. Picard** 

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

## Exhibit T

### BERNARD L. MADOFF INVESTMENT SECURITIES LLC In Liquidation

### **DECEMBER 11, 2008**<sup>1</sup>

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

JENNIFER STEPELTON (F/K/A JENNIFER COONEY) IRA ACCOUNT 3031 NE 44TH ST FT LAUDERDALE, FL 33308

Dear JENNIFER STEPELTON (F/K/A JENNIFER COONEY) IRA ACCOUNT:

### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005101:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78ee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/II(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

# Exhibit U

In Liquidation **DECEMBER 11, 2008**<sup>1</sup>

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

SEAN STEPELTON 5110 N FEDERAL HIGHWAY, SUITE 100 FT LAUDERDALE, FL 33308

Dear SEAN STEPELTON:

### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005109:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/II(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC

## Exhibit V

In Liquidation

### **DECEMBER 11, 2008**<sup>1</sup>

### NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM

December 8, 2009

SEAN D STEPELTON IRA/SEP ACCOUNT 5110 N FEDERAL HIGHWAY FT LAUDERDALE, FL 33308

Dear SEAN D STEPELTON IRA/SEP ACCOUNT:

#### PLEASE READ THIS NOTICE CAREFULLY.

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 005107:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78/// (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

<sup>&</sup>lt;sup>1</sup> Section 78/III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78/III(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

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Clerk of the United States Bankruptcy Court for the Southern District of New York One Bowling Green New York, New York 10004

and

Irving H. Picard, Trustee c/o Baker & Hostetler LLP Attn: Claims Department 45 Rockefeller Plaza New York, New York 10111

Irving H. Picard

Trustee for the Liquidation of the Business of Bernard L. Madoff Investment Securities LLC